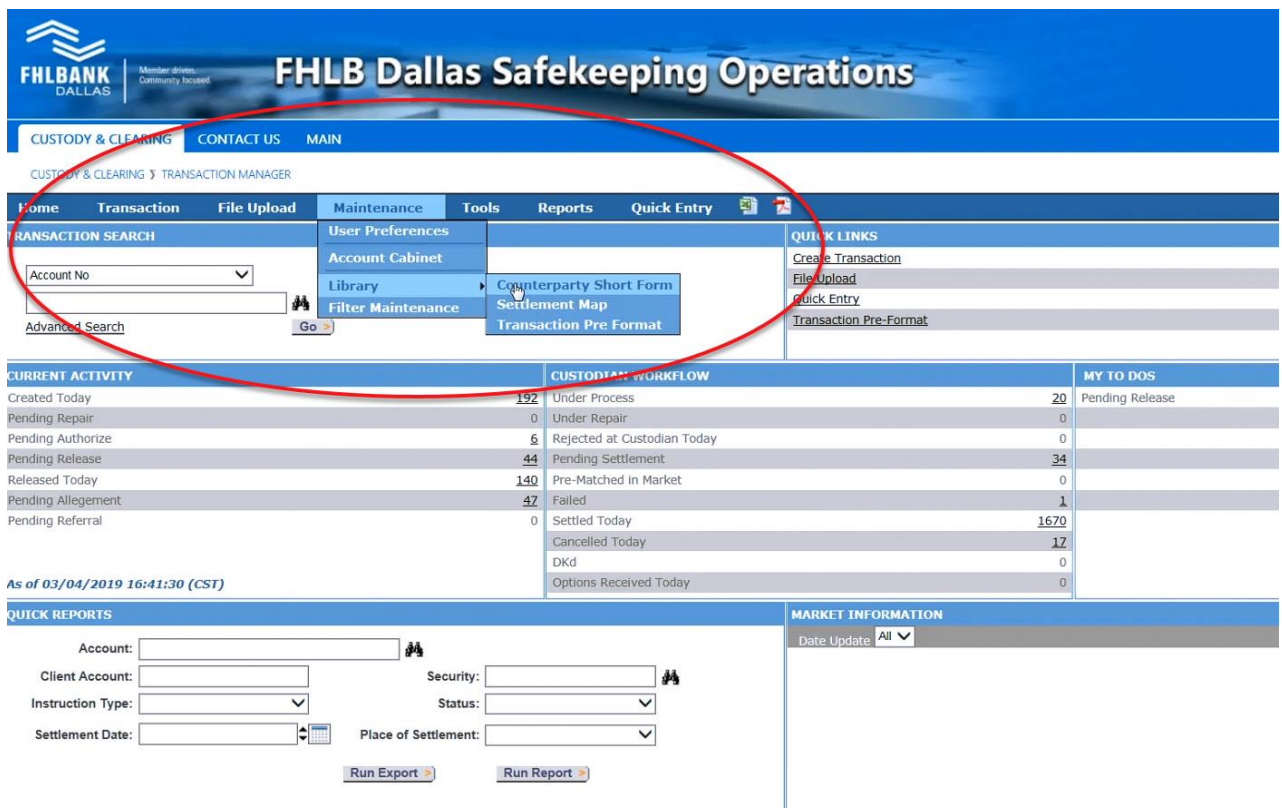


Adding a Frequently Used Broker in Nexus9

Below are steps to build a frequently used broker on Nexus9. Instead of searching through an entire list of global brokers, the member would be able to manually build the list and customize it so that information of frequently used brokers is organized in one place and easily accessible. This document may be utilized accompanying the training video.

From the main page:



FHLB Dallas Safekeeping Operations

Home Transaction File Upload Maintenance Tools Reports Quick Entry

TRANSACTION SEARCH

Account No:

Advanced Search

Library

Counterparty Short Form

Settlement Map

Transaction Pre Format

QUICK LINKS

Create Transaction

File Upload

Quick Entry

Transaction Pre-Format

CURRENT ACTIVITY	CUSTOMER WORKFLOW	MY TO DO'S
Created Today	192	20
Pending Repair	0	0
Pending Authorize	6	0
Pending Release	44	34
Released Today	140	0
Pending Allegement	47	1
Pending Referral	0	0
	Settled Today	1670
	Cancelled Today	17
	DKd	0
	Options Received Today	0

As of 03/04/2019 16:41:30 (CST)

QUICK REPORTS

Account:

Client Account: Security:

Instruction Type: Status:

Settlement Date: Place of Settlement:

MARKET INFORMATION

Date Update: All

Click Custody & Clearing → Transaction Manager → Maintenance → Library → Counterparty Short form.

This will take you to a full list of transactions made.

Once there, scroll to the bottom of the page. Click **Add US**.

CUSTODY & CLEARING												
CONTACT US MAIN												
CUSTODY & CLEARING TRANSACTION MANAGER												
Short Form Code	Clearing Agent Code Type	Clearing Agent Code	Clearing Agent Name	A/C at Depository	Buyer/Seller Code Type	Buyer/Seller Code	Buyer/Seller Name	A/C at Custody/Clearing Agent	Place of Settlement	Update Date	Status	
AM-PIERPOINT	Local	003313	BK OF NYC/PIERPOINTMBS	-	-	-	-	-	New York	11/01/2018 15:48:55 (CST)	Current	
BAKERGROUP DTC	Local	002565	BANK OF NY A/C JAMES BAKER CO	-	-	-	-	-	New York	12/21/2018 08:36:28 (CST)	Current	
BAKERGROUP FED	Local - ABA	021000018	JAMES BAKER CO THRU 2414	BAKERGROUP	-	-	-	-	New York	10/31/2018 13:44:10 (CST)	Current	
BARCLAYS	Local - ABA	021000018	BARCLAYS BZWGS	BARCLAYS	-	-	-	-	New York	10/31/2018 13:44:41 (CST)	Current	
CANTOR	Local - ABA	021000018	CANTOR FITZGERALD CO, INC	CANTOR	-	-	-	-	New York	11/01/2018 15:46:44 (CST)	Current	
CITIGRP-SALOMON	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/24/2019 15:13:53 (CST)	Pending Author	
CITIGROUP	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/28/2019 16:13:33 (CST)	Pending Author	
DTC MLPS	Local	008862	MERRILL LYNCH, PIERCE, FENNER SM	16H-20129	-	-	-	-	New York	10/29/2018 10:23:26 (CST)	Current	
DUNCAN W	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/02/2018 16:39:19 (CST)	Pending Author	
FBNB/1000 FED	Local - ABA	065403370	FIRST NATIONAL BANKERS BANK	1020	-	-	-	-	New York	11/09/2018 12:48:43 (CST)	Current	
FTN DTC 2445	Local	002445	FIRST TENNESSEE BANK BOND DIV	-	-	-	-	-	New York	11/01/2018 15:47:28 (CST)	Current	
FTN/1010	Local - ABA	084000026	FIRST TENN MEN / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:38 (CST)	Current	
FTN/1010 FED	Local - ABA	084000026	FIRST TENN MEN / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:49 (CST)	Current	
FUSIMBS	Local - ABA	021000018	FIRST UNION SECURITIES INC (MBS)	FUSIMBS	-	-	-	-	New York	11/15/2018 17:18:01 (CST)	Current	
GSMB	Local - ABA	021000018	BK OF NYC/CSMB	CSMB	-	-	-	-	New York	10/31/2018 13:43:24 (CST)	Current	
GUGEN REVERSE	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	10/30/2018 09:08:54 (CST)	Current	
GUGGENHEIM	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	11/01/2018 15:47:22 (CST)	Current	
HILLTOP FED	Local - ABA	021000018	BK OF NYC/HILLTOP	HILLTOP	-	-	-	-	New York	11/06/2018 15:27:01 (CST)	Current	
JPMC-MBS	Local - ABA	021000018	BKOFNYC/BOMBS	BDOMBS	-	-	-	-	New York	02/06/2019 15:31:03 (CST)	Pending Author	
MIZUHO	Local - ABA	021000018	BANK OF NYC/MIZUHO SEC	MIZUHOSEC	-	-	-	-	New York	11/13/2018 12:55:48 (CST)	Current	
MLGOV	Local - ABA	021000018	BK OF NYC/MLGOV	MLGOV	-	-	-	-	New York	10/31/2018 13:44:22 (CST)	Current	
MLPS	Local - ABA	021000018	BANK OF NYC/MLPS	MLPS	-	-	-	-	New York	10/29/2018 10:06:49 (CST)	Current	
MORGAN STAND	Local - ABA	021000018	MORGAN STANLEY CO INC	MORGAN STANLEY	-	-	-	-	New York	01/28/2019 16:09:17 (CST)	Pending Author	
NOMURA	Local	000180	NOMURA SECURITIES INT'L INC	-	-	-	-	-	New York	01/24/2019 15:19:29 (CST)	Pending Author	
NRS FED	Local - ABA	021000018	BK OF NYC/NRS	NRS	-	-	-	-	New York	11/01/2018 15:47:14 (CST)	Current	
PERSHING	Local	058991	PERSHING LLC	-	-	-	-	-	New York	10/31/2018 13:43:31 (CST)	Current	
PERSHING FED	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/06/2018 15:27:11 (CST)	Current	
PERSHING-LOOP	Local	058991	PERSHING LLC	-	-	-	-	-	New York	01/24/2019 15:16:31 (CST)	Pending Author	
RJFI	Local - ABA	021000018	BK OF NYC/RJFI	RJFI	-	-	-	-	New York	10/30/2018 09:08:59 (CST)	Current	
STIFEL	Local	000793	STIFEL NICOLAUS AND CO INC	-	-	-	-	-	New York	10/30/2018 09:09:02 (CST)	Current	
STIFEL FED	Local - ABA	021000018	STIFEL NICOLAUS AND CO INC	STIFEL	-	-	-	-	New York	10/31/2018 13:44:28 (CST)	Current	
TIB DALLAS DTC	Local	009901	BANK OF NEW YORK	371451	-	-	-	-	New York	12/28/2018 09:01:42 (CST)	Pending Author	
TIB DALLAS FED	Local - ABA	111010170	TIB THE INDEPENDENT BANKERS BK	1020	-	-	-	-	New York	12/24/2018 09:19:31 (CST)	Pending Author	
VININGDTC2940	Local	002940	BANK OF NY A/C VINING SPARKS	-	-	-	-	-	New York	11/01/2018 15:47:05 (CST)	Current	
VSIBG	Local - ABA	021000018	VINING SPARKS IBG (BK OF NYC)	VSIBG	-	-	-	-	New York	11/19/2018 08:13:18 (CST)	Current	
WELLS-FIRSTUN	Local - ABA	021000018	FIRST UNION SECURITIES INC	1010	-	-	-	-	New York	11/19/2018 12:57:45 (CST)	Pending Author	
ZIONS - ZBNK	Local - ABA	021000018	BK OF NYC/ZBNK	ZBNK	-	-	-	-	New York	11/07/2018 16:19:29 (CST)	Current	

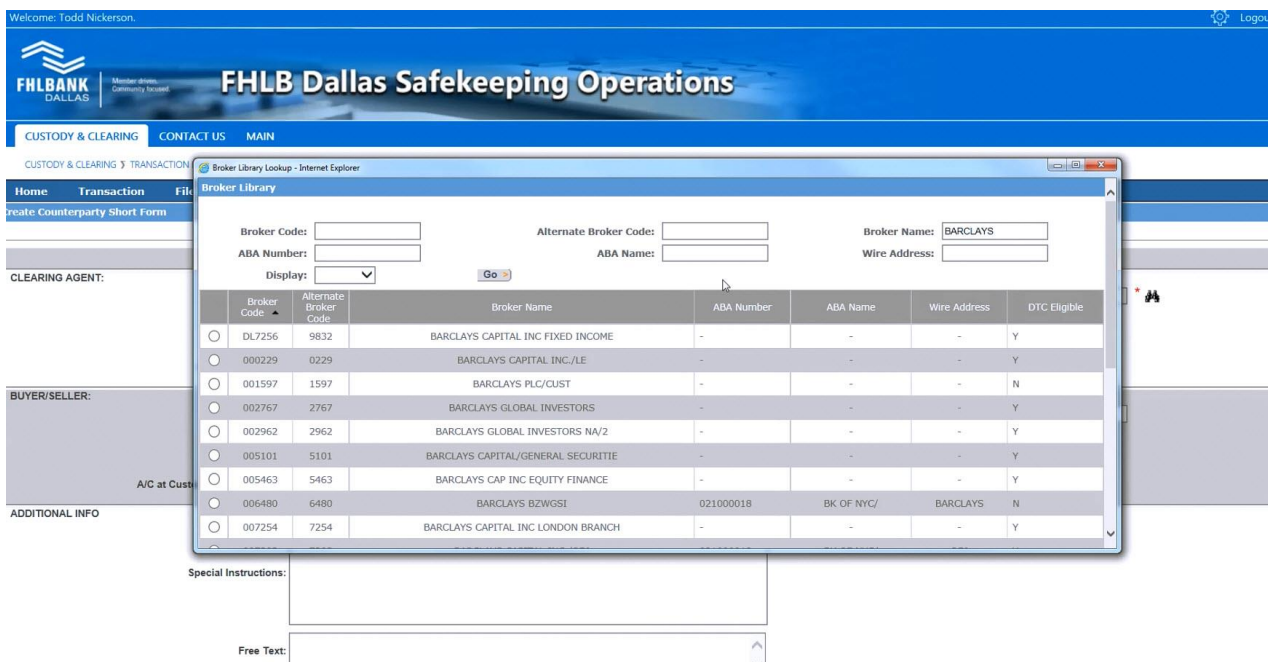
On the next page, only three fields are required, which are identified with red asterisks.

CUSTODY & CLEARING															
CONTACT US MAIN															
CUSTODY & CLEARING TRANSACTION MANAGER															
Home	Transaction	File Upload	Maintenance	Tools	Reports	Quick Entry									
Create Counterparty Short Form															
Short Form: <input type="text"/>										Place of Settlement: New York					
CLEARING AGENT:															
Code Type: <input type="text"/>										Code: <input type="text"/>					
Name: <input type="text"/>															
A/C at Depository: <input type="text"/>															
BUYER/SELLER:															
Code Type: <input type="text"/>										Code: <input type="text"/>					
Name: <input type="text"/>															
A/C at Custody/Clearing Agent: <input type="text"/>															

1. **Short form** – type in a desired list name
2. **Code Type** – Click **Local** or **Local – ABA** (depends on whether the security being traded is registered through the Federal Reserve or Depository Trust Company (DTC)
 - i. DTC Brokers – Identify with Local

ii. Fed brokers – Identify with Local - ABA

3. **Code** – To look up, click on binoculars icon and “Broker Library Lookup” window will appear



The screenshot shows the FHLB Dallas Safekeeping Operations interface. A "Broker Library Lookup" window is open, displaying a table of brokers. The window has search fields for Broker Code, Alternate Broker Code, Broker Name, ABA Number, ABA Name, and Wire Address. A "Go" button is present. The table lists various Barclays entities.

	Broker Code	Alternate Broker Code	Broker Name	ABA Number	ABA Name	Wire Address	DTC Eligible
<input type="radio"/>	DL7256	9832	BARCLAYS CAPITAL INC FIXED INCOME	-	-	-	Y
<input type="radio"/>	000229	0229	BARCLAYS CAPITAL INC./LE	-	-	-	Y
<input type="radio"/>	001597	1597	BARCLAYS PLC/CUST	-	-	-	N
<input type="radio"/>	002767	2767	BARCLAYS GLOBAL INVESTORS	-	-	-	Y
<input type="radio"/>	002962	2962	BARCLAYS GLOBAL INVESTORS NA/2	-	-	-	Y
<input type="radio"/>	005101	5101	BARCLAYS CAPITAL/GENERAL SECURITIE	-	-	-	Y
<input type="radio"/>	005463	5463	BARCLAYS CAP INC EQUITY FINANCE	-	-	-	Y
<input type="radio"/>	006480	6480	BARCLAYS BZWGS	021000018	BK OF NYC/	BARCLAYS	N
<input type="radio"/>	007254	7254	BARCLAYS CAPITAL INC LONDON BRANCH	-	-	-	Y

Depending on available information, use any of the fields at the top to locate a broker (i.e. Broker Code, ABA Number, ABA Name etc.). Once information is entered to locate, Click **Go**.

Find the broker and click **Done**. The Lookup window will disappear, and the chosen broker information will populate on the transaction manager, showing the **Broker Short Form Code**. Then, click **Submit**



Member driven.
Community focused.

File Edit View Favorites Tools Help

Page Safety Tools

Welcome: Todd Nickerson. Logout

FHLB Dallas Safekeeping Operations

CUSTODY & CLEARING CONTACT US MAIN

CUSTODY & CLEARING TRANSACTION MANAGER

Home Transaction File Upload Maintenance Tools Reports Quick Entry

COUNTERPARTY SHORT FORM LIBRARY

Filter By: Go

Short Form Code	Clearing Agent Code Type	Clearing Agent Code	Clearing Agent Name	A/C at Depository	Buyer/Seller Code Type	Buyer/Seller Code	Buyer/Seller Name	A/C at Custody/Clearing Agent	Place of Settlement	Update Date	Status
AM-PIERPONT	Local	003313	BK OF NYC/PIERPONTMBS	-	-	-	-	-	New York	11/01/2018 15:48:55 (CST)	Current
BAKERGROUP DTC	Local	002565	BANK OF NY A/C JAMES BAKER CO	-	-	-	-	-	New York	12/21/2018 08:36:28 (CST)	Current
BAKERGROUP FED	Local - ABA	021000018	JAMES BAKER CO THRU 2414	BAKERGROUP	-	-	-	-	New York	10/31/2018 13:44:10 (CST)	Current
BARCLAYS	Local - ABA	021000018	BARCLAYS BZWGS	BARCLAYS	-	-	-	-	New York	10/31/2018 13:44:41 (CST)	Current
CANTOR	Local - ABA	021000018	CANTOR FITZGERALD CO, INC	CANTOR	-	-	-	-	New York	11/01/2018 15:46:44 (CST)	Current
CITGRP-SALOMON	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/24/2019 15:11:57 (CST)	Pending Authorize
CITIGROUP	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/28/2019 16:13:33 (CST)	Pending Authorize
DTC MLPFS	Local	008862	MERRILL LYNCH, PIERCE, FENNER SM	16H-20129	-	-	-	-	New York	10/29/2018 10:23:26 (CST)	Current
DUNCAN W	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/02/2018 16:39:19 (CST)	Pending Authorize
FNBB/1020 FED	Local - ABA	065403370	FIRST NATIONAL BANKERS BANK	1020	-	-	-	-	New York	11/09/2018 12:48:43 (CST)	Current
FTN DTC 2445	Local	002445	FIRST TENNESSEE BANK BOND DIV	-	-	-	-	-	New York	11/01/2018 15:47:28 (CST)	Current
FTN/1010	Local - ABA	084000026	FIRST TENN MEM / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:38 (CST)	Current
FTN/1010 FED	Local - ABA	084000026	FIRST TENN MEM / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:49 (CST)	Current
FUSIMBS	Local - ABA	021000018	FIRST UNION SECURITIES INC (MBS)	FUSIMBS	-	-	-	-	New York	11/15/2018 17:18:01 (CST)	Current
GSMBS	Local - ABA	021000018	BK OF NYC/GSMBS	GSMBS	-	-	-	-	New York	10/31/2018 13:43:24 (CST)	Current
GUGEN REVERSE	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	10/30/2018 09:08:54 (CST)	Current
GUGGENHEIM	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	11/01/2018 15:47:22 (CST)	Current
HILLTOP FED	Local - ABA	021000018	BK OF NYC/HILLTOP	HILLTOP	-	-	-	-	New York	11/06/2018 15:27:01 (CST)	Current
JPMC-MBS	Local - ABA	021000018	BKOFNYC/BDMBS	BDMBS	-	-	-	-	New York	02/06/2019 15:31:03 (CST)	Pending Authorize
MIZUHO	Local - ABA	021000018	BANK OF NYC/MIZUHO SEC	MIZUHOSEC	-	-	-	-	New York	11/13/2018 12:55:48 (CST)	Current
MLGOV	Local - ABA	021000018	BK OF NYC/MLGOV	MLGOV	-	-	-	-	New York	10/31/2018 13:44:22 (CST)	Current
MLPFS	Local - ABA	021000018	BANK OF NYC/MLPFS	MLPFS	-	-	-	-	New York	10/29/2018 10:06:49 (CST)	Current
MORGAN STAND	Local - ABA	021000018	MORGAN STANLEY CO INC	MORGAN STANLEY	-	-	-	-	New York	01/28/2019 16:09:17 (CST)	Pending Authorize
NOMURA	Local	000180	NOMURA SECURITIES INT'L, INC	-	-	-	-	-	New York	01/24/2019 15:19:29 (CST)	Pending Authorize
NRS FED	Local - ABA	021000018	BK OF NYC/NRS	NRS	-	-	-	-	New York	11/01/2018 15:47:14 (CST)	Current
PERSHING	Local	058991	PERSHING LLC	-	-	-	-	-	New York	10/31/2018 13:43:31 (CST)	Current
PERSHING FED	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/06/2018 15:27:11 (CST)	Current
PERSHING-LOOP	Local	058991	PERSHING LLC	-	-	-	-	-	New York	01/24/2019 15:16:31 (CST)	Pending Authorize
RJFI	Local - ABA	021000018	BK OF NYC/RJFI	RJFI	-	-	-	-	New York	10/30/2018 09:08:59 (CST)	Current
STIFEL	Local	000793	STIFEL NICOLAUS AND CO INC	-	-	-	-	-	New York	10/30/2018 09:09:02 (CST)	Current
STIFEL FED	Local - ABA	021000018	STIFEL NICOLAUS AND CO INC	STIFEL	-	-	-	-	New York	10/31/2018 13:44:28 (CST)	Current
TIB DALLAS DTC	Local	000901	BANK OF NEW YORK	371451	-	-	-	-	New York	12/28/2018 09:01:42 (CST)	Pending Authorize
TIB DALLAS FED	Local - ABA	111010170	TIB THE INDEPENDENT BANKERS BK	1020	-	-	-	-	New York	12/24/2018 09:19:31 (CST)	Pending Authorize
VININGDTC940	Local	002940	BANK OF NY A/C VINING SPARKS	-	-	-	-	-	New York	11/01/2018 15:47:05 (CST)	Current

Once submitted and authorized, the newly added broker listing will be added to the **Counterparty Short Form Library**

If you have any questions or would like to suggest a topic, please contact Processing at 214.441.8010 or processing@fhlb.com.