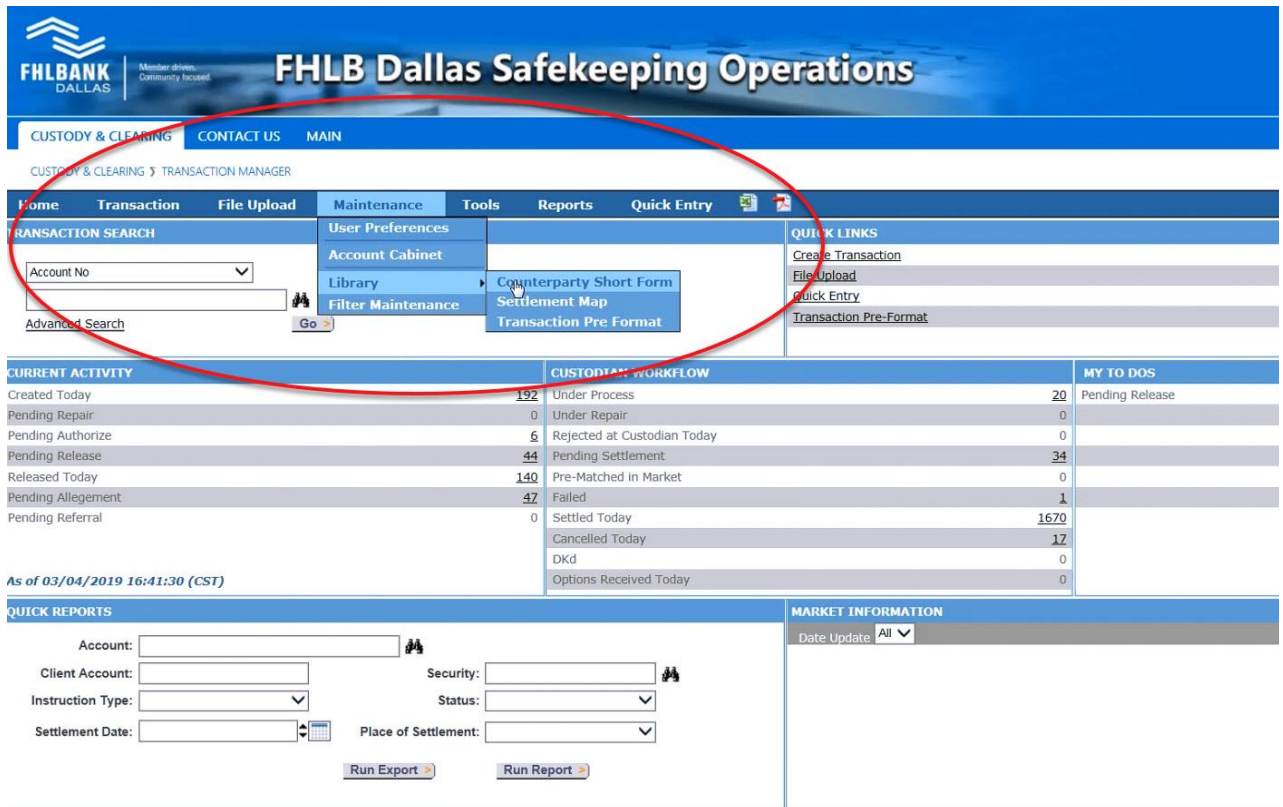


Adding a Frequently Used Broker in Nexus9

Below are steps to build a frequently used broker on Nexus9. Instead of searching through an entire list of global brokers, the member would be able to manually build the list and customize it so that information of frequently used brokers is organized in one place and easily accessible. This document may be utilized accompanying the training video.

From the main page:



The screenshot shows the FHLB Dallas Safekeeping Operations web application. The navigation menu includes: Home, Transaction, File Upload, Maintenance, Tools, Reports, and Quick Entry. The 'Maintenance' menu is expanded, showing options: User Preferences, Account Cabinet, Library, Filter Maintenance, Counterparty Short Form, Settlement Map, and Transaction Pre Format. The 'Library' option is selected, and the 'Counterparty Short Form' option is highlighted. The main content area displays a table with columns for 'CURRENT ACTIVITY', 'CUSTODIAN WORKFLOW', and 'MY TO DOS'. Below the table, there are sections for 'QUICK REPORTS' and 'MARKET INFORMATION'.

CURRENT ACTIVITY	CUSTODIAN WORKFLOW	MY TO DOS
Created Today	192 Under Process	20 Pending Release
Pending Repair	0 Under Repair	0
Pending Authorize	6 Rejected at Custodian Today	0
Pending Release	44 Pending Settlement	34
Released Today	140 Pre-Matched in Market	0
Pending Allegement	47 Failed	1
Pending Referral	0 Settled Today	1670
	Cancelled Today	17
	DKd	0
	Options Received Today	0

As of 03/04/2019 16:41:30 (CST)

QUICK REPORTS: Account: [input], Client Account: [input], Instruction Type: [dropdown], Settlement Date: [calendar], Security: [input], Status: [dropdown], Place of Settlement: [dropdown], Run Export, Run Report.

MARKET INFORMATION: Date Update: All [dropdown]

Click Custody & Clearing → Transaction Manager → Maintenance → Library → Counterparty Short form.

This will take you to a full list of transactions made.

Once there, scroll to the bottom of the page. Click **Add US**.

Short Form Code	Clearing Agent Code Type	Clearing Agent Code	Clearing Agent Name	A/C at Depository	Buyer/Seller Code Type	Buyer/Seller Code	Buyer/Seller Name	A/C at Custody/Clearing Agent	Place of Settlement	Update Date	Status
AM-PIERPOINT	Local	003313	BK OF NYC/PIERPOINTMBS	-	-	-	-	-	New York	11/01/2018 15:48:55 (CST)	Current
BAKERGROUP DTC	Local	002565	BANK OF NY A/C JAMES BAKER CO	-	-	-	-	-	New York	12/21/2018 08:36:28 (CST)	Current
BAKERGROUP FED	Local - ABA	021000018	JAMES BAKER CO THRU 2414	BAKERGROUP	-	-	-	-	New York	10/31/2018 13:44:10 (CST)	Current
BARCLAYS	Local - ABA	021000018	BARCLAYS BZWGSI	BARCLAYS	-	-	-	-	New York	10/31/2018 13:44:41 (CST)	Current
CANTOR	Local - ABA	021000018	CANTOR FITZGERALD CO, INC	CANTOR	-	-	-	-	New York	11/01/2018 15:46:44 (CST)	Current
CITGRP-SALOMON	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/24/2019 15:11:57 (CST)	Pending Author
CITIGROUP	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/28/2019 16:13:33 (CST)	Pending Author
DTC MLPFS	Local	008862	MERRILL LYNCH, PIERCE, FENNER SM	16H-20129	-	-	-	-	New York	10/29/2018 10:23:26 (CST)	Current
DUNCAN W	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/02/2018 16:39:19 (CST)	Pending Author
FNB/1020 FED	Local - ABA	065403370	FIRST NATIONAL BANKERS BANK	1020	-	-	-	-	New York	11/09/2018 12:48:43 (CST)	Current
FTN DTC 2445	Local	002445	FIRST TENNESSEE BANK BOND DIV	-	-	-	-	-	New York	11/01/2018 15:47:28 (CST)	Current
FTN/1010	Local - ABA	084000026	FIRST TENN MEM / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:38 (CST)	Current
FTN/1010 FED	Local - ABA	084000026	FIRST TENN MEM / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:49 (CST)	Current
FUSIMBS	Local - ABA	021000018	FIRST UNION SECURITIES INC (MBS)	FUSIMBS	-	-	-	-	New York	11/15/2018 17:18:01 (CST)	Current
GSMBS	Local - ABA	021000018	BK OF NYC/GSMBS	GSMBS	-	-	-	-	New York	10/31/2018 13:43:24 (CST)	Current
GUGENH REVERSE	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	10/30/2018 09:08:54 (CST)	Current
GUGGENHEIM	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	11/01/2018 15:47:22 (CST)	Current
HILLTOP FED	Local - ABA	021000018	BK OF NYC/HILLTOP	HILLTOP	-	-	-	-	New York	11/06/2018 15:27:01 (CST)	Current
JPMC-MBS	Local - ABA	021000018	BKOFNYC/BDMBS	BDMBS	-	-	-	-	New York	02/06/2019 15:31:03 (CST)	Pending Author
MIZUHO	Local - ABA	021000018	BANK OF NYC/MIZUHO SEC	MIZUHOSEC	-	-	-	-	New York	11/13/2018 12:55:48 (CST)	Current
MLGOV	Local - ABA	021000018	BK OF NYC/MLGOV	MLGOV	-	-	-	-	New York	10/31/2018 13:44:22 (CST)	Current
MLPFS	Local - ABA	021000018	BANK OF NYC/MLPFS	MLPFS	-	-	-	-	New York	10/29/2018 10:06:48 (CST)	Current
MORGAN STAND	Local - ABA	021000018	MORGAN STANLEY CO INC	MORGAN STANLEY	-	-	-	-	New York	01/28/2019 16:09:17 (CST)	Pending Author
NOMURA	Local	000180	NOMURA SECURITIES INT'L INC	-	-	-	-	-	New York	01/24/2019 15:19:29 (CST)	Pending Author
NRS FED	Local - ABA	021000018	BK OF NYC/NRS	NRS	-	-	-	-	New York	11/01/2018 15:47:14 (CST)	Current
PERSHING	Local	058991	PERSHING LLC	-	-	-	-	-	New York	10/31/2018 13:43:31 (CST)	Current
PERSHING FED	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/06/2018 15:27:11 (CST)	Current
PERSHING-LOOP	Local	058991	PERSHING LLC	-	-	-	-	-	New York	01/24/2019 15:16:31 (CST)	Pending Author
RJFI	Local - ABA	021000018	BK OF NYC/RJFI	RJFI	-	-	-	-	New York	10/30/2018 09:08:59 (CST)	Current
STIFEL	Local	000793	STIFEL NICOLAUS AND CO INC	-	-	-	-	-	New York	10/30/2018 09:09:02 (CST)	Current
STIFEL FED	Local - ABA	021000018	STIFEL NICOLAUS AND CO INC	STIFEL	-	-	-	-	New York	10/31/2018 13:44:28 (CST)	Current
TIB DALLAS DTC	Local	009501	BANK OF NEW YORK	371451	-	-	-	-	New York	12/28/2018 09:01:40 (CST)	Pending Author
TIB DALLAS FED	Local - ABA	111011070	TIB THE INDEPENDENT BANKERS BK	1020	-	-	-	-	New York	12/24/2018 09:19:31 (CST)	Pending Author
VININGDTC2940	Local	002940	BANK OF NY A/C VINNING SPARKS	-	-	-	-	-	New York	11/01/2018 15:47:95 (CST)	Current
VSIBG	Local - ABA	021000018	VINING SPARKS IBG (BK OF NYC)	VSIBG	-	-	-	-	New York	11/19/2018 08:13:18 (CST)	Current
WELLS-FIRSTUN	Local - ABA	021000018	FIRST UNION SECURITIES INC	FUSI	-	-	-	-	New York	11/19/2018 12:57:45 (CST)	Pending Author
ZIONS - ZBNK	Local - ABA	021000018	BK OF NYC/ZBNK	ZBNK	-	-	-	-	New York	11/07/2018 16:19:29 (CST)	Current

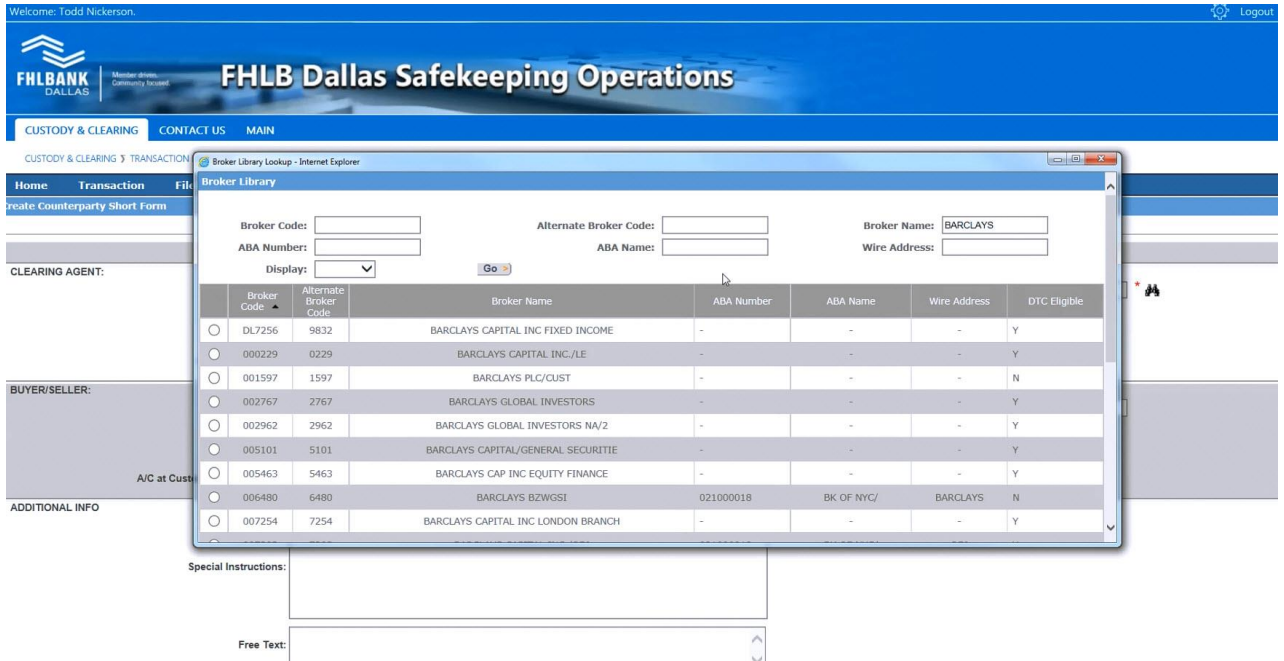
On the next page, only three fields are required, which are identified with red asterisks.

Home	Transaction	File Upload	Maintenance	Tools	Reports	Quick Entry
Create Counterparty Short Form						
Short Form: <input type="text"/> *						Place of Settlement: New York
CLEARING AGENT:						
Code Type: Local * <input type="text"/>						Code: <input type="text"/> *
Name: <input type="text"/>						
A/C at Depository: <input type="text"/>						
BUYER/SELLER:						
Code Type: <input type="text"/>						Code: <input type="text"/>
Name: <input type="text"/>						
A/C at Custody/Clearing Agent: <input type="text"/>						

1. **Short form** – type in a desired list name
2. **Code Type** – Click **Local** or **Local – ABA** (depends on whether the security being traded is registered through the Federal Reserve or Depository Trust Company (DTC)
 - i. DTC Brokers – Identify with Local

ii. Fed brokers – Identify with Local - ABA

3. **Code** – To look up, click on binoculars icon and “Broker Library Lookup” window will appear



Depending on available information, use any of the fields at the top to locate a broker (i.e. Broker Code, ABA Number, ABA Name etc.). Once information is entered to locate, Click **Go**.

Find the broker and click **Done**. The Lookup window will disappear, and the chosen broker information will populate on the transaction manager, showing the **Broker Short Form Code**. Then, click **Submit**



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Community focused.

Welcome: Todd Nickerson. Logout

FHLB Dallas Safekeeping Operations

CUSTODY & CLEARING | CONTACT US | MAIN

CUSTODY & CLEARING > TRANSACTION MANAGER

Home | Transaction | File Upload | Maintenance | Tools | Reports | Quick Entry

COUNTERPARTY SHORT FORM LIBRARY

Filter By:

Short Form Code	Clearing Agent Code Type	Clearing Agent Code	Clearing Agent Name	A/C at Depository	Buyer/Seller Code Type	Buyer/Seller Code	Buyer/Seller Name	A/C at Custody/Clearing Agent	Place of Settlement	Update Date	Status
AM-PIERPONT	Local	003313	BK OF NYC/PIERPONTMBS	-	-	-	-	-	New York	11/01/2018 15:48:55 (CST)	Current
BAKERGROUP DTC	Local	002565	BANK OF NY A/C JAMES BAKER CO	-	-	-	-	-	New York	12/21/2018 08:36:28 (CST)	Current
BAKERGROUP FED	Local - ABA	021000018	JAMES BAKER CO THRU 2414	BAKERGROUP	-	-	-	-	New York	10/31/2018 13:44:10 (CST)	Current
BARCLAYS	Local - ABA	021000018	BARCLAYS BZWGSI	BARCLAYS	-	-	-	-	New York	10/31/2018 13:44:41 (CST)	Current
CANTOR	Local - ABA	021000018	CANTOR FITZGERALD CO, INC	CANTOR	-	-	-	-	New York	11/01/2018 15:46:44 (CST)	Current
CITGRP-SALOMON	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/24/2019 15:11:57 (CST)	Pending Authorize
CITIGROUP	Local - ABA	021000018	CITIGROUP GBL MKTS INC/SALOMON BRO	SALOMON	-	-	-	-	New York	01/28/2019 16:13:33 (CST)	Pending Authorize
DTC MLPFS	Local	008862	MERRILL LYNCH, PIERCE, FENNER SM	16H-20129	-	-	-	-	New York	10/29/2018 10:23:26 (CST)	Current
DUNCAN W	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/02/2018 16:39:19 (CST)	Pending Authorize
FNBB/1020 FED	Local - ABA	065403370	FIRST NATIONAL BANKERS BANK	1020	-	-	-	-	New York	11/09/2018 12:48:43 (CST)	Current
FTN DTC 2445	Local	002445	FIRST TENNESSEE BANK BOND DIV	-	-	-	-	-	New York	11/01/2018 15:47:28 (CST)	Current
FTN/1010	Local - ABA	084000026	FIRST TENN MEM / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:38 (CST)	Current
FTN/1010 FED	Local - ABA	084000026	FIRST TENN MEM / DEALER	1010	-	-	-	-	New York	11/06/2018 15:26:49 (CST)	Current
FUSIMBS	Local - ABA	021000018	FIRST UNION SECURITIES INC (MBS)	FUSIMBS	-	-	-	-	New York	11/15/2018 17:18:01 (CST)	Current
GSMBS	Local - ABA	021000018	BK OF NYC/GSMBS	GSMBS	-	-	-	-	New York	10/31/2018 13:43:24 (CST)	Current
GUGEN REVERSE	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	10/30/2018 09:08:54 (CST)	Current
GUGGENHEIM	Local - ABA	021000018	GUGGENHEIM LIQUIDITY SERVICES	GUGGENHEIM	-	-	-	-	New York	11/01/2018 15:47:22 (CST)	Current
HILLTOP FED	Local - ABA	021000018	BK OF NYC/HILLTOP	HILLTOP	-	-	-	-	New York	11/06/2018 15:27:01 (CST)	Current
JPMC-MBS	Local - ABA	021000018	BKOFNYC/BDMBS	BDMBS	-	-	-	-	New York	02/06/2019 15:31:03 (CST)	Pending Authorize
MIZUHO	Local - ABA	021000018	BANK OF NYC/MIZUHO SEC	MIZUHOSEC	-	-	-	-	New York	11/13/2018 12:55:48 (CST)	Current
MLGOV	Local - ABA	021000018	BK OF NYC/MLGOV	MLGOV	-	-	-	-	New York	10/31/2018 13:44:22 (CST)	Current
MLPFS	Local - ABA	021000018	BANK OF NYC/MLPFS	MLPFS	-	-	-	-	New York	10/29/2018 10:06:49 (CST)	Current
MORGAN STAND	Local - ABA	021000018	MORGAN STANLEY CO INC	MORGAN STANLEY	-	-	-	-	New York	01/28/2019 16:09:17 (CST)	Pending Authorize
NOMURA	Local	000180	NOMURA SECURITIES INT'L,INC	-	-	-	-	-	New York	01/24/2019 15:19:29 (CST)	Pending Authorize
NRS FED	Local - ABA	021000018	BK OF NYC/NRS	NRS	-	-	-	-	New York	11/01/2018 15:47:14 (CST)	Current
PERSHING	Local	058991	PERSHING LLC	-	-	-	-	-	New York	10/31/2018 13:43:31 (CST)	Current
PERSHING FED	Local - ABA	021000018	PERSHING LLC	PERSHING	-	-	-	-	New York	11/06/2018 15:27:11 (CST)	Current
PERSHING-LOOP	Local	058991	PERSHING LLC	-	-	-	-	-	New York	01/24/2019 15:16:31 (CST)	Pending Authorize
RJFI	Local - ABA	021000018	BK OF NYC/RJFI	RJFI	-	-	-	-	New York	10/30/2018 09:08:59 (CST)	Current
STIFEL	Local	000793	STIFEL NICOLAUS AND CO INC	-	-	-	-	-	New York	10/30/2018 09:09:02 (CST)	Current
STIFEL FED	Local - ABA	021000018	STIFEL NICOLAUS AND CO INC	STIFEL	-	-	-	-	New York	10/31/2018 13:44:28 (CST)	Current
TIB DALLAS DTC	Local	000901	BANK OF NEW YORK	371451	-	-	-	-	New York	12/28/2018 09:01:42 (CST)	Pending Authorize
TIB DALLAS FED	Local - ABA	111010170	TIB THE INDEPENDENT BANKERS BK	1020	-	-	-	-	New York	12/24/2018 09:19:31 (CST)	Pending Authorize
VININGDTC940	Local	002940	BANK OF NY A/C VINING SPARKS	-	-	-	-	-	New York	11/01/2018 15:47:05 (CST)	Current

Once submitted and authorized, the newly added broker listing will be added to the **Counterparty Short Form Library**

If you have any questions or would like to suggest a topic, please contact Todd Nickerson at 214.441.8010 or safekeeping@fhlb.com.