

## Reporting and Pledge Entries on Nexus9

The purpose of these instructions is to show users how to remove pledge entries on holdings reports.

From the main page:

Click Custody & Clearing → Under Reporting & Analytics, click Custody Reporting



**FHLB Dallas Safekeeping Operations**

CUSTODY & CLEARING | CONTACT US | MAIN

REPORTING & ANALYTICS | TRANSACTIONAL | SUPPORT & ADMIN

Custody Reporting | Corporate Action | Preference Module

help you manage

### Types of Services Offered

- Security trade settlement trade processing
- Security transfer processing
- Notification of maturing pledged securities

### Safekeeping Advantages

- Competitive and cost-effective fee schedule
- Settlement instructions are entered directly into the Safekeeping online platform that allows for faster market settlement
- Real-time dynamic reporting of safekeeping transactions, holdings and asset servicing
- Audit confirmations are provided at no charge by using the Audit Request Form
- Third-party custodial service agreements
- Unique numeric coding system that helps segregate securities pledged to public unit

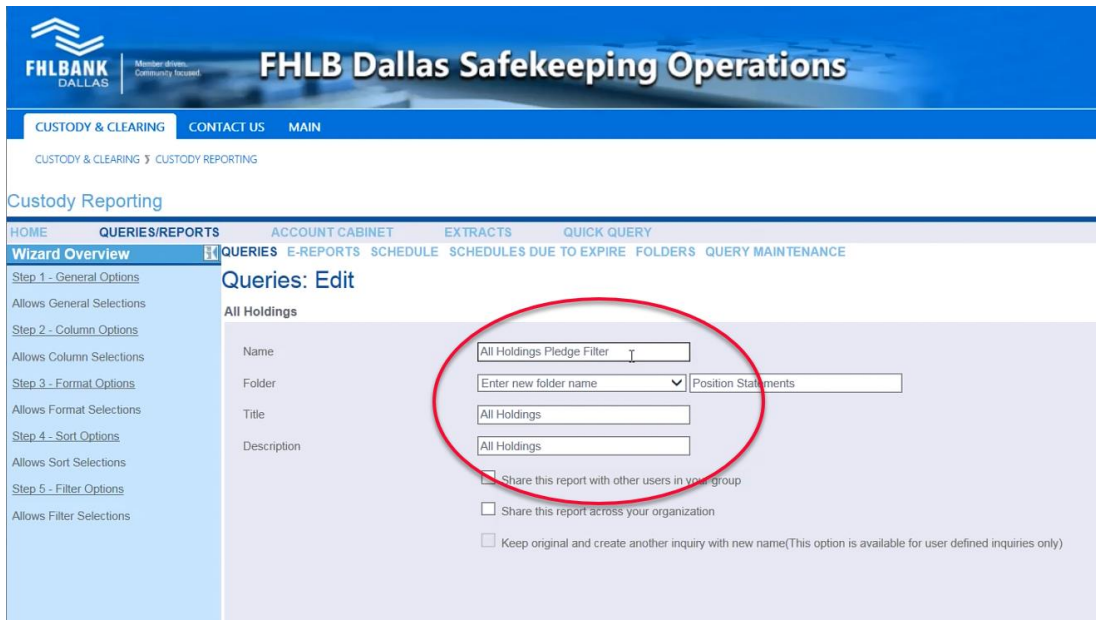
### Additional Documents

Additional guides are available at the following links below

- Securities
- Member
- VIEW MC

On the main page of Custody Reporting, click **Queries/Reports** → **All Holdings Report**

Click **Edit**. This will take you to a page where you can modify the name of the report, titled **Queries: Edit**.



- Change the Name of the Report
- Change the folder in which you desire to save it to
- Change the Title
- Change the Description
- Click **Next** and it will lead to Step 2. No changes are required for Step 2, which show the headings of the columns for the overall report.
- Step 3 and 4 both pertain to adding totals to the report data and does not pertain to pledge entries. (If needed, refer to instructions from [Adding Totals on Reports](#)) Proceed to the final step of the process.
- Step 5 is where you need to create an expression.

On “All Holdings Pledge Filter” page, select the following (exhibit on the next page):

- Columns: **Pledge Code**
- Condition: **is null**
- Value: (no selection)
- Connector: **And**
- Click **Add**, which will cause the expression, “**Pledge Code is null And**” to appear

Reporting

By modifying the expression of this setting, it will look for any settled quantity that is greater than zero without being associated with a pledge code. On the report, it will return just holdings with no pledge entries.

Click **Save** → **OK** → **OK**

This will lead to the main page of the report. It will provide another opportunity to execute the report. Click **Execute**.



Member driven.  
Community focused.

Once executed, the report that was generated will appear. You will see that the report excludes the pledge entry transactions that were duplicated or unwanted on the list.

All Holdings Pledge Filter									
ACCOUNTS AS OF SORT BY		Multiple Accounts Selected 06-MAR-2019 How Position is Held			SECURITY ID CREATED ON ADDITIONAL FILTER				
Sec ID Type	Sec ID	Security Description	Settled Quantity	Pledge Code	Pledgee Name	Pledge Reference number	Market Value	Market Price	Market Price Date
CUSIP	3128MJD49		95,619.14				104,051.79	108.82	
CUSIP	31288LQN4		68,729.93				71,973.98	104.72	
CUSIP	3129045E1		23,070.24				23,206.73	100.59	
CUSIP	312910EJ7		5,724.04				5,738.24	100.25	
CUSIP	312912MH8		13,252.28				13,378.87	100.96	
CUSIP	312913PM2		8,395.90				8,420.21	100.29	
CUSIP	31292GJ80		5,162.83				5,686.55	110.14	
CUSIP	31292GXT8		1,499.41				1,652.77	110.23	
CUSIP	31292G2K1		3,434.16				3,788.36	110.31	
CUSIP	31293QVU4		13,810.80				14,723.69	106.61	
CUSIP	31296KGZ0		46,676.02				48,843.88	104.54	
CUSIP	31297XMU5		34,739.34				37,205.14	107.10	
CUSIP	3133TQFM4		1,036.24				1,041.43	100.50	
CUSIP	31335GCS2		5,359.35				5,903.00	110.14	
CUSIP	31335GH57		2,499.63				2,672.78	106.93	
CUSIP	31339DRU4		27,429.40				27,858.01	101.56	
CUSIP	31339MZP6		83,991.25				85,053.14	101.26	
CUSIP	31346AFJ9		737.08				730.19	99.07	

If you have any questions or would like to suggest a topic, please contact Todd Nickerson at 214.441.8010 or [safekeeping@fhlb.com](mailto:safekeeping@fhlb.com).