

Reporting and Pledge Entries on Nexus9

The purpose of these instructions is to show users how to remove pledge entries on holdings reports.

From the main page:

Click Custody & Clearing → Under Reporting & Analytics, click Custody Reporting



FHLB Dallas Safekeeping Operations

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REPORTING & ANALYTICS | TRANSACTIONAL | SUPPORT & ADMIN

Custody Reporting | Corporate Action | Preference Module

help you manage

Types of Services Offered

- Security trade settlement trade processing
- Security transfer processing
- Notification of maturing pledged securities

Safekeeping Advantages

- Competitive and cost-effective fee schedule
- Settlement instructions are entered directly into the Safekeeping online platform that allows for faster market settlement
- Real-time dynamic reporting of safekeeping transactions, holdings and asset servicing
- Audit confirmations are provided at no charge by using the Audit Request Form
- Third-party custodial service agreements
- Unique numeric coding system that helps segregate securities pledged to public unit

Additional Documents

Additional guides are available in the following links below

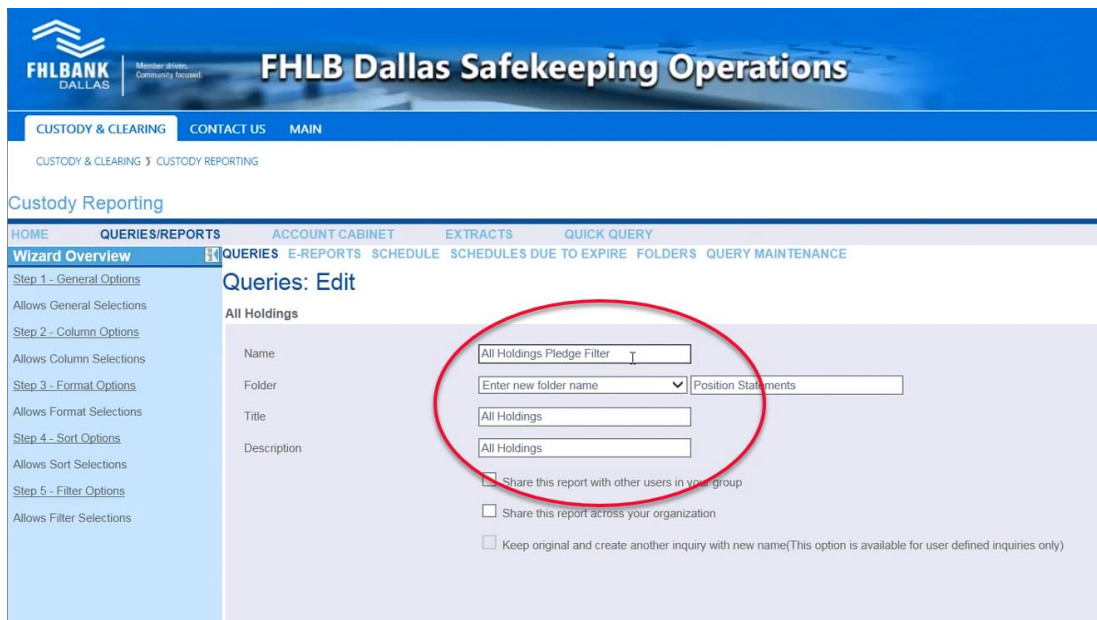
Securities

Member

VIEW MC

On the main page of Custody Reporting, click **Queries/Reports** → **All Holdings Report**

Click **Edit**. This will take you to a page where you can modify the name of the report, titled **Queries: Edit**.



- Change the Name of the Report
- Change the folder in which you desire to save it to
- Change the Title
- Change the Description
- Click **Next** and it will lead to Step 2. No changes are required for Step 2, which show the headings of the columns for the overall report.
- Step 3 and 4 both pertain to adding totals to the report data and does not pertain to pledge entries. (If needed, *refer to instructions from [Adding Totals on Reports](#)*) Proceed to the final step of the process.
- Step 5 is where you need to create an expression.

On “All Holdings Pledge Filter” page, select the following (exhibit on the next page):

- Columns: **Pledge Code**
- Condition: **is null**
- Value: (no selection)
- Connector: **And**
- Click **Add**, which will cause the expression, “**Pledge Code is null And**” to appear

Reporting

Queries: Edit

All Holdings Pledge Filter

Columns

Pending Deliverable	Condition	is null
Pending Quantity	Value	
Pending Receivable	Connector	And
Percent of Posted Balance		
Percent of Settled Quantity		
Percent of Total Market Value		
Physical Form of Holding		
Pledge Code		

Expression

Settled Quantity is not equal to 0 And
Pledge Code is null And

ADD [] [] CLEAR CLEAR ALL (maximum 1000 characters allowed)

Row Filter

☐ Show all Rows ☒ Limit Row Count To 40000

By modifying the expression of this setting, it will look for any settled quantity that is greater than zero without being associated with a pledge code. On the report, it will return just holdings with no pledge entries.

Click **Save** → **OK** → **OK**

This will lead to the main page of the report. It will provide another opportunity to execute the report. Click **Execute**.

CUSTODY & CLEARING CUSTODY REPORTING

U All Holdings 12/31/14

U All Holdings audit test

U All Holdings Heritage

U All Holdings Iberia

U All Holdings NexBank

U All Holdings OG In

U All Holdings Pledge Filter

U All Holdings Prosperity

U All Holdings Prosperity plg an d 3rd

U All Holdings WestStar

U All Holdings BancorpSouth

U All Holdings BancorpSouth Pledge only

U Projected Transactions central

U SK Asset Holdings List In

Available Accounts

Selected Accounts

Limited to 500 accounts, to include additional accounts add Group Account within Account Cabinet.

225065-10453-FHLBD 10453SAGICORLIFEINS CO SKG-US
225066-10453-FHLBD 10453SAGICORLIFEINS CO PLG-US
225067-10453-FHLBD 10453SAGICORLIFEINS CO 3RD-US

Showing 1 to 3 of 3

☐ Group Account ☒ View Details

Click here to select Issue Parameters

As Of Last Close of Business

Weekend Preferences Exclude Weekend

Holiday Preferences Do not include as working days

Sort By How Position is Held

☒ Open in New Window ☐ Consolidated Detail ☐ Extended Page Size ☒ Suppress Accounts Audit Page

☐ Suppress No Data Message

Standard, SH=Shared, U=User Defi

EXECUTE DOWNLOAD REPORT MANAGER EDIT MOVE DELETE CLOSE NEXT



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Once executed, the report that was generated will appear. You will see that the report excludes the pledge entry transactions that were duplicated or unwanted on the list.

All Holdings Pledge Filter									
ACCOUNTS AS OF SORT BY		Multiple Accounts Selected 06-MAR-2019 How Position Is Held				SECURITY ID CREATED ON ADDITIONAL FILTER			
Sec ID Type	Sec ID	Security Description	Settled Quantity	Pledge Code	Pledgee Name	Pledge Reference number	Market Value	Market Price	Market Price Date
CUSIP	3128MJD49		95,619.14				104,051.79	108.82	
CUSIP	31288LQN4		68,729.93				71,973.98	104.72	
CUSIP	3129045E1		23,070.24				23,206.73	100.59	
CUSIP	312910EJ7		5,724.04				5,738.24	100.25	
CUSIP	312912MH8		13,252.28				13,378.87	100.96	
CUSIP	312913PM2		8,395.90				8,420.21	100.29	
CUSIP	31292GJ80		5,162.83				5,686.55	110.14	
CUSIP	31292GXT8		1,499.41				1,652.77	110.23	
CUSIP	31292G2K1		3,434.16				3,788.36	110.31	
CUSIP	31293QVU4		13,810.80				14,723.69	106.61	
CUSIP	31296KGZ0		46,678.02				48,843.88	104.64	
CUSIP	31297XMU5		34,739.34				37,205.14	107.10	
CUSIP	3133TQFM4		1,036.24				1,041.43	100.50	
CUSIP	31335GC82		5,359.35				5,903.00	110.14	
CUSIP	31335GH57		2,499.63				2,672.78	106.93	
CUSIP	31339DRU4		27,429.40				27,858.01	101.56	
CUSIP	31339MZIP6		83,991.25				85,053.14	101.26	
CUSIP	31346AFJ9		737.08				730.19	99.07	

If you have any questions or would like to suggest a topic, please contact Processing at 214.441.8010 or processing@fhlb.com.