

Transaction Entries on Nexus9

Below are steps to process various types of security transactions on Nexus9 Transaction Manager

STANDARD TRADES

From the main page of Transaction Manager:

Click Custody & Clearing \rightarrow Transaction Manager \rightarrow Transaction tab \rightarrow Create

CUSTO	OY & CLEARING CON	TACT US MAIN				
CUSTOR	DY & CLEARING > TRANS	ACTION MANAGER				
HOME	TRANSACTION	FILE UPLOAD	MAINTENANCE	TOOLS	REPORTS	QUICK ENTRY
iii	List					
Transa	Create					My To Dos
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<u>**Transaction Header**</u> - Fill out the information that is highlighted **Yellow**:

ew Apply Preformat Quick Entry			
Transaction Header			
Client Account *	Client Account Name		
Enter client account number Q			
Safekeeping Account	Account Name	Transaction Type*	Instruction Type *
Enter account value Q		Standard 🗸	RF RVP DF DVP
Security *	Group *	Client Security Group	
CUSIP Enter security Q	Select Group from the list		
Security Description 1 *	Security Description 2	Place of Settlement *	Place of Safekeeping
		Place of Settlement 🔹	Place of Safekeeping



- 1. Client Account = Use magnifying glass to search
 - a) Find and the select corresponding Safekeeping Client Account number (contains an "S") and click Done.
- 2. Security = Enter Cusip
- 3. Transaction Type = Select Standard
- 4. **Instruction Type =** Select one of the following:
 - a) RVP = security purchase (funds to be debited from DDA account)
 - b) RF = security movement free of payment
 - c) DF = security movement to another bank/institution free of payment
 - d) DVP = security sold to another bank/institution (funds to be credited to DDA account)
- 5. **Place of Settlement** = Required to be selected based on where the security normally settles. For example:
 - a) New York physical (paper) security
 - b) US FED Treasuries/Agency (FNMA, GNMA, Freddie Mac)
 - c) US D.T.C. municipals, corporate bonds, commercial bank CDs

<u>**Transaction Details</u>** - Fill out the information that is highlighted **Yellow**:</u>

Transaction Deta	ails						
Customer Reference *			Quantity Type Settlement Quantity		Currency *	Amount *	
			UNIT FAMT				
▲ Customer Reference	e is Required						
Trade Date* 12/31/2024	r •		Setjlement Date * 12/31/2024		Previous Reference		Related Reference
Short Form Code							
		Q	Save To Library				
Clearing Agent *			Code *		Name		A/C at Depository
Local Text	Local - ABA			۹			
Seller			Code		Name		A/C at Custody/Clearing Agent
Local Text	Local - ABA						
						Reset Hole	d Submit & Print Submit & Keep Submit

- 1. Client Reference = system generated (when manually entered)
- 2. **Settlement Quantity** = original face
- 3. **Amount** = net amount paid or receive for the trade
- 4. Trade Date = refer to confirmation from broker
- 5. Settlement Date = date the broker/institution will send or receive the trade
- 6. **Clearing Agent** = location where the trade to be settled (see below)
 - a) LOCAL = DTC security (Municipals, Corporate Bonds and Commercial mortgage back agency securities)
 - b) LOCAL ABA = FED security (Treasuries/Agency FNMA, GNMA and Freddie Mac will settle in this location)
- 7. **Code** = click on magnifying glass to locate the appropriate broker instruction



Broker Code						1
Broker Code	Alternate Broker Code	Broker Name	ABA Number	ABA Name	Wire Address	DTC Eligible
000050	0050	Contains 🗸 C	021000018	DK OF NYC	MORGAN STANLEY	Y
		0050				
		O AND O OR				
		Contains 🗸				
		Filter				

- a) For DTC securities hover over Alternate Broker Code header and click on the hamburger icon
 - 1. Enter the 4-digit DTC code to filter
 - 2. Add zeroes if the broker code is less than 4 digits (Morgan Stanley broker code = 50 therefore enter 0050)
 - 3. Click to select and click Done (to go back to the Transaction Details page)
 - 4. Broker code selected is populated

Clearing Ag	ent *		Code*		Name	A/C at Depository
Local	Text	Local - ABA	000050	Q	MORGAN STANLEY & CO INC	

5. **A/C at Depository** – enter account number ONLY if delivered security to another bank/institution requires

Clearing Agent *		Code *		Name	A/C at Depository
Local Text	t Local - ABA	000050	Q	MORGAN STANLEY & CO INC	2378154

- b) For FED securities hover over **Wire Address** or **Account Name/Number** header and click on the hamburger icon
 - 1. Wire Address = enter ABA/routing number
 - Account Name/Number = enter broker name (example BAKERGROUP, STIFEL and PERSHING)
 - 3. Click to select and click Done (to go back to the Transaction Details page)
 - 4. Broker code selected is populated

roker Code	Alternate Broker Code	Broker Name	ABA Number	ABA Name	Wire Address	DTC Eligible	
09304	9304	JAMES BAKER & CO THRU	021000018	DK OF NYC	BAKERGROUP	Contains 🗸	
						BAKERGROUP	
						AND O OR	
						Contains 🗸	
						Filter	
							< 1

5. The selected instruction is populated.

Clear	ring Agent *			Code *	Name	A/C at Depository *
	Local	Text	Local - ABA	021000018 Q	JAMES BAKER & CO THRU 2414	BAKERGROUP

6. NOTE: When delivering the security and an account number is required, add the account number after the populated instructions in the A/C at Depository as shown below.

HLBANK DALLAS			
Clearing Agent *	Code *	Name	A/C at Depository *
Local Text Local - ABA	021000018 Q	JAMES BAKER & CO THRU 2414	BAKERGROUP/2378154

7. Click one of the following to submit for approval

	Reset	Hold	Submit & Print	Submit & Keep	Submit
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<u>VIEW TRANSACTIONS</u> - hover over the **Transaction** tab and select **List** to see the full list of transactions created

FHLE		Moniter driven. Connuenty focused	e ^p	HLB Dal	las Safek	eeping	Operation	S						
CUST	DDY & CLE	ARING CO	TACT US	MAIN										
CUST	DDY & CLE	ARING > TRAN	SACTION M	ANAGER										
HOME	TRAN	SACTION	FILE U	PLOAD MAINTE	NANCE TOOLS	REPORTS	QUICK ENTRY							
Stand	¿ List		s List										LAST UPDATED	ON 02/04/2025 14:53:03 (EST) 🔿
	Create	2									Select	User Defined Filter 💌 🕨	I Size to Fit ↔ Aut	• Size 료 급 급 문 순 수
	Repair		ference	Client Account	Instruction Type	Security Id	Place of Settlement	Account Number	Security Description 1	Transaction Type	Settlement Date	Settlement Quantity	Pledgee Code	Status
=	Verify			55411 P	RF	3130B44N8	US FED	227346 - NK	FEDERAL HOME LOAN BANKS	Standard	01/07/2025	2,000,000	000935	Pending Repair
=	Autho	rize		15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	45,000	0000020222	Settled
=	Releas	e		15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	125,000	0000020234	Settled
	Cance			15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	108,000	0000020162	Settled
	Allege	ment		15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	144,000	0000024339	Settled
		202501281	0	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	134,000	0000020803	Settled
=		202501280	9	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	71,000	0000021119	Settled
		202501280	8	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	49,000	0000022402	Settled
=		202501280	7	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	51,800	0000025045	Settled
		202501280	6	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	73,000	0000020131	Settled
=		202501280	5	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VIRGINIA BEACH VA	Pledge Held in Custody	01/28/2025	44,000	0000022824	Settled
		202501280	4	15662 S	DF	733505TX9	US D.T.C.	225164 - NK	PORT ARTHUR INDEPENDENT SC	Pledge Held in Custody	01/28/2025	142,000	0000024393	Settled
FHLB.	сом						-				12			TERMS OF USE PRIVACY
FHLB	ANK							Follow Us @ 🍏	f in YouTabe					Copyright © 2022 FHLBank Dallas

REPLICATING TRANSACTIONS

Transactions with a status listed as "Pending Release" may be replicated during its pending state. If interested in performing another type of transaction, click the transaction & it will populate the transaction, then click **Replicate**.



STUDY & C	LEARING CONTACT US	MAIN											
STODY & C	LEARING > TRANSACTION M	ANAGER											
E TRA	NSACTION FILE U	PLOAD MAINTE	NANCE TOOLS	REPORTS	QUICK ENTRY			View Transaction Details					= 🖬 3
dard T	ransactions List							Settled					
								Original Transaction					
	Customer Reference	Client Account	Instruction Type	Security Id	Place of Settlement	Account Number	Se	Transaction Header					
0	554110101	55411 P	RF	3130B44N8	US FED	227346 - NK	FE	TM Reference Number 165692513	Create Date 01/28/2025 14:38:32 (EST)	File Id 4205313		Custodian Id 6613162379	1 97
	2025012814	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VI	Client Account	Client Account Name				
	2025012813	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VI	15662 S	NK - 5560004				
	2025012812	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII	Safekeeping Account 225164	Account Name NK - FHLBD-15662 CENTRAL BANK-	Transaction Typ Pledge Held in Ci	oe ustody	Instruction 1 Deliver Free -	Type - Pledge
	2025012811	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII		SKG				
	2025012810	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII	Security/Security Number CUSIP 927747E50	Group FIXED INCOME	Client Security	Group		
	2025012809	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VI	Security Description 1	Security Description 2	Place of Settlen	nent		
	2025012808	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII	VIRGINIA BEACH VA	3% MUN 15/11/2038 USD	US D.T.C.			
	2025012807	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII						
	2025012806	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII	Transaction Details					
	2025012805	15662 S	DF	927747ES0	US D.T.C.	225164 - NK	VII						
	2025012804	15662 S	DF	733505TX9	US D.T.C.	225164 - NK	PC				Replicate	Cancel	Cancel & Reinstruc

This will reopen the Transaction Manager with information on a previous transaction. It enables modifying all the details of an existing transaction without having to reenter any trade details onto the form.

If you have any questions or would like to suggest a topic, please contact Processing at 214.441.8010 or processing@fhlb.com.